

Final Document

Title: Final Report: List of International Standards recognized

by IMDRF Members as of March 2014

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IMDRF/Standards/N15FINAL:2014

Final Report:

"List of international standards recognized by IMDRF management committee members" Current as of: March 2014

Dr. Matthias Neumann



Mandate

Gathering information and creating a list of standards used for medical devices regulatory purposes that are recognized by IMDRF Management Committee members

Background

The GHTF regulatory model is based on the principle that the regulation defines the essential principles for safe and effective medical devices.

GHTF/SG1/N044:2008: Role of Standards in the Assessment of Medical Devices

International Standards should specify (interpret) in detail how regulatory compliance (e.g. with the essential principles) for medical devices (processes or manufacturers) could be achieved.

Initiated Actions

- 1. Request for the nomination of national experts
- Circulation of a list of 1102 valid international standards on Medical Devices (ISO/IEC) to USA, Canada, Australia, Japan, Brasil, China, Russia and the EU-Commission
- Indication of the level of recognition of these standards (Y- fully recognized, N-not recognized, P-partially recognized or mandatory) by the nominated national experts
- 4. Compilation and assessment of the provided answers

Used Methods

An Excel sheet containing a list of 1102 IEC and ISO standards with relevance to medical devices was developed.

Basis: database research covering the following ICS (International Classification for Standards) notations.

- 11.100.20 (Biological evaluation of medical devices)
- 11.120.20 (Wound dressings and compresses)
- 11.140 (Hospital equipment)
- 13.140 Noise with respect to human beings. Including audiometry. Acoustics and acoustic measurement -> audiometer).

Used Methods

ICS (International Classification for Standards) notations.

• 11.100.10 (In vitro diagnostic test systems

11.080 (Sterilization and disinfection

• 11.040 (Medical equipment)

11.180 (Aids for disabled or handicapped persons)

• 11.060 (Dentistry)

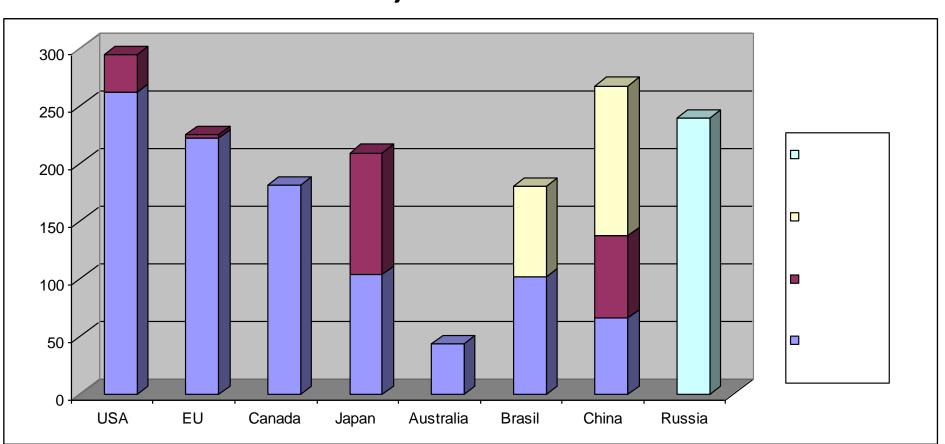
For pragmatic reasons other medical devices (related) standards or standards of other international standardisation bodies have not been considered within this first phase of the project.

Results

- All 8 IMDRF members provided input to the project
- a list with a clear indication of fully or partially recognized/mandatory standards was provided by 8 of the 8 regions/countries
- The number of fully recognized standards (out of 1102 standards) varies between 261 and 44
- The number of partially and fully recognized standards varies between more than 390 and 44
- Three regions are using mandatory standards



Number of recognized/mandatory standards in IMDRF jurisdictions



Number of recognized/mandatory standards in IMDRF jurisdictions

	recognised	partially	mandatory	partially recognised and mandatory
USA	261	33		
EU	222	3		
Canada	181			
Japan	104	105		
Australia	44			
Brasil	102		78	
China	66	71	130	
Russia				239

 There are 2 standards which are recognized/mandatory by 7 of the 8 regions

Document reference	Publication ¹	Title
ISO 14630	2008-01	Non-active surgical implants General requirements
ISO 14971	2007-03	Medical devices Application of risk management to medical devices

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17 standards which are recognized/mandatory by 6 of the 8 regions

Document/reference	Publication	Title	
IEC 62304	2006-05	Medical device software Software life cycle processes	
IEC 60601-2-20	2009-02	Medical electrical equipment Part_2-20: Particular requirements for the basic safety and essential performance of infant transport incubators	
IEC 60601-2-27	2011-03	Medical electrical equipment Part_2-27: Particular requirements for the basic safety and essential performance of electrocardiographic monitoring equipment	
IEC 60601-2-29	2008-06	Medical electrical equipment Part_2-29: Particular requirements for the basic safety and essential performance of radiotherapy simulators	
IEC 60601-2-39	2007-11	Medical electrical equipment Part_2-39: Particular requirements for basic safety and essential performance of peritoneal dialysis equipment	
IEC 60601-2-44	2009-02	Medical electrical equipment Part_2-44: Particular requirements for the basic safety and essential performance of X-ray equipment for computed tomography	
ISO 10993-1	2009-10	Biological evaluation of medical devices Part_1: Evaluation and testing within a risk management process	
ISO 10993-3	2003-10	Biological evaluation of medical devices Part_3: Tests for genotoxicity, carcinogenicity and reproductive toxicity	
ISO 10993-4	2002-10	Biological evaluation of medical devices Part_4: Selection of test for interactions with blood	

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17 standards which are recognized/mandatory by 6 of the 8 regions

Document /reference	Publication	Title	
ISO 10993-6	2007-04	Biological evaluation of medical devices Part_6: Tests for local effects after implantation	
ISO 10993-12 2007-11		Biological evaluation of medical devices Part_12: Sample preparation and reference materials	
ISO 10993-14	2001-11	Biological evaluation of medical devices Part_14: Identification and quantification of degradation products from ceramics	
ISO 10993-15	2000-12	Biological evaluation of medical devices Part_15: Identification and quantification of degradation products from metals and alloys	
ISO 10993-17	2002-12	Biological evaluation of medical devices Part_17: Establishment of allowable limits for leachable substances	
ISO 11137-1	2006-04	Sterilization of health care products Radiation Part_1: Requirements for development, validation and routine control of a sterilization process for medical devices	
ISO 14155	2011-02	Clinical investigation of medical devices for human subjects Good clinical practice	
ISO 17664	2004-03	Sterilization of medical devices Information to be provided by the manufacturer for the processing of resterilizable medical devices	

Findings

The use of recognized standards can be a tool for harmonising requirements on medical devices in the different IMDRF jurisdictions.

The concept of the use of recognized/mandatory standards is currently implemented in the different IMDRF jurisdictions in different ways.

- 1. Simple mechanisms to establish a non-binding list of recognised standards
- 2. "Translation" of the standards into national legislation
- 3. Focusing on standards which are used by the regulators to perform tests
- 4. Complex mechanisms to give a standard the legal status of a recognised, harmonised or mandatory standard.
- 5. Concentration on horizontal standards and product specific standards used for the assessment of high risk devices. (Since the assessment if standards are in compliance with the essential principles and the regional/national regulation is too complex and resource binding).

Discussion Points for Regulatory Authorities

Efficient development and use of recognised standards requires that regulatory authorities have the resources to:

- Be involved in standardization projects, and
- 2. Assess and implement the recognised standards under national legislation

Considerations:

- limited resources (for the assessment, for the implementation into the nat. regulation, for contribution to international standardization projects), and
- limited influence of regulatory bodies on standardization projects

Thank you

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